

#6

1033 U.S. PTO
09/920095
08/15/01

SECTION 2: FORM PTO 1449 - MODIFIED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Monga

Atty Dkt: 2204/A97

Serial No: Not yet assigned

Date: August 15, 2001

Date Filed: Herewith

Invention: System, Device, and Method for Managing Connection Quality in an Optical Communication System

Commissioner for Patents
Washington, DC 20231

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT

Other Documents

Exam. Ref.

Init. No. Name

DCP AA "Simple Wavelength Assignment Protocol", Stephen Suryaputra, et al., October 26, 1999.

AB- "Work on the Automatic Switched Optical Network", Contribution to T1 Standards Project - T1X1.5, Newsome, et. al., January, 2000.

AC / Memo: "MPLS Control Plane for Switched Optical Networks", MPLS Working Group Internet Draft, Krishnaswamy, et. al., February 2000.

AD / Memo: "IP Over Optical Networks: A Summary of Issues" Internet Draft, N. Chandhok, et al., July 2000.

AE / "Architecture for the Automatic Switched Optical Network" First Draft of Gason, Mayer, September 13, 2000 - September 15, 2000.

AF / "Controlling Optical Data Networks with an Application Specific routing Protocol", Cisco Systems, Inc., 2000.

AG / Memo: "Generalized MPLS - Signaling Functional Description" Ashwood- Smith, Network Working Group Internet Draft, July 2001.

AH / "ASON - Requirements at the Client API", Newsome, et. al., September 2000.

AI / "Automatically Switched Optical Networks (ASON)", Nortel Networks Limited, June 2000.

AJ / "MPLS: A Progress Report", Peter Ashwood-Smith, et al., November 1, 1999, URL: <http://www.networkmagazine.com/article/NMG20000426S0015>.

Examiner: /David C. Payne/Date Considered: 09/17/2006

NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant. 168269.1

Best Available Copy



U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number 09/930,095 Filing Date August 15, 2001 First Named Inventor MQNGA, Indermohan S. Art Unit 2633 Examiner Name Unassigned	Attorney Docket Number 14985BAUS01U
Sheet	1	of	1		

U.S. PATENT DOCUMENTS

RECEIVED

MAR 04 2002

Technology Center 2000

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

/David C. Payne/

Date Considered

09/17/2006

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Best Available Copy



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(use as many sheets as necessary)

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/830095
		Filing Date	8/15/2001
		First Named Inventor	Monga
		Art Unit	2833
		Examiner Name	
Sheet	1	of	1
		Attorney Docket Number	13072BAUS07U 120-177

U.S. PATENT DOCUMENTS

RECEIVED

APR 16 2003

Technology Center 2600

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

/David C. Payne/

Date
Considered

09/17/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.12 if possible. ⁶Entered in to place a check mark here if English language Translation is attached.

document under WIPO Standard ST. 18 if possible. *Applicant is to place a check mark here if English language Translation is attached.
Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/08A (10-01)

Approved for use through 10/31/2002 OMB 0851-0031

Approved for use through: 10/31/2002 GPO 2001-500
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Best Available Copy